

Guidance 037 Process Validation Sampling for Non-Sterile Liquid, Semi Solid Drug Products

Ointments, Creams, Pastes, Gels and Lotions are often prone to separation or settling and may pose special concerns for sampling.

In formulations where the active pharmaceutical ingredient (API) is soluble in the base or vehicle, API uniformity would be expected to present less of a problem than those formulations where the API is insoluble and is suspended, as may be the case with certain semi-solid dosage forms. In the latter case, API uniformity would depend upon control of particle size, and the use of a validated mixing process.

A concern is mixer design and the presence of "dead spots" where quantities of the formula are stationary and not subject to mixing. Sampling points should include these points as part of the sampling plan.

During each process step in which separation or settling could occur, comprehensive sampling and testing should be performed to ensure that the process is performing as designed.

Refer to the **Appendix** for validation sampling guidelines for these categories of products.

APPENDIX A: SAMPLING OF NON-STERILE LIQUID AND SEMI-SOLID

II. Dosage Form: SUSPENSIONS

Manufacturing Stage	Process Validation Sampling Guideline											
<p>Mixing</p>	<p><u>Manufacturing Vessel Samples:</u> Samples should be taken from the manufacturing vessel after the completion of the final mix step. Samples are taken and tested for potency and preservative content (if applicable) to prove product uniformity of API and preservatives (if applicable) at the end of bulk manufacture.</p> <p>A possible sampling scheme would be as follows:</p> <table border="1" data-bbox="729 667 1230 1388"> <thead> <tr> <th data-bbox="732 667 1227 730">Sample Location</th> </tr> </thead> <tbody> <tr> <td data-bbox="732 730 1227 793">1. Top-Left Side</td> </tr> <tr> <td data-bbox="732 793 1227 856">2. Top-Right Side</td> </tr> <tr> <td data-bbox="732 856 1227 919">3. Top-Middle</td> </tr> <tr> <td data-bbox="732 919 1227 982">4. Left 3-6" below surface (Middle)</td> </tr> <tr> <td data-bbox="732 982 1227 1045">5. Right 3-6" below surface -Middle</td> </tr> <tr> <td data-bbox="732 1045 1227 1108">6. Middle-Middle</td> </tr> <tr> <td data-bbox="732 1108 1227 1171">7. Left - Bottom</td> </tr> <tr> <td data-bbox="732 1171 1227 1234">8. Right - Bottom</td> </tr> <tr> <td data-bbox="732 1234 1227 1297">9. Middle- Bottom</td> </tr> <tr> <td data-bbox="732 1297 1227 1388">10. Bottom (from drain if possible)</td> </tr> </tbody> </table> <p>Note 1: Sufficient sample volume should be taken from each sampling location to allow for the defined testing, together with investigation of any OOS or unexpected results.</p> <p>Note 2: Take samples from Top, Middle and Bottom of the tank for viscosity testing.</p>	Sample Location	1. Top-Left Side	2. Top-Right Side	3. Top-Middle	4. Left 3-6" below surface (Middle)	5. Right 3-6" below surface -Middle	6. Middle-Middle	7. Left - Bottom	8. Right - Bottom	9. Middle- Bottom	10. Bottom (from drain if possible)
Sample Location												
1. Top-Left Side												
2. Top-Right Side												
3. Top-Middle												
4. Left 3-6" below surface (Middle)												
5. Right 3-6" below surface -Middle												
6. Middle-Middle												
7. Left - Bottom												
8. Right - Bottom												
9. Middle- Bottom												
10. Bottom (from drain if possible)												
<p>Holding</p>	<p>If packaging of the lot is delayed and the suspension is transferred to a Holding Storage Tank, Refer to Holding time sampling guidance</p>											
<p>Filling/Packaging</p>	<p>Take 3 samples at 10 sampling points (including the end of the batch/lot) distributed throughout the packaging process. Samples are taken to prove product uniformity of API and preservatives (if applicable).</p> <p>Also refer to the Semisolids Filling section (below).</p>											